

# Self-Adaptation and Information Flow in Multiparty Communications

*Joint work with*

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# Outline

- 1 Context
- 2 An Example
- 3 Technical Details
- 4 Concluding Remarks

# A Mismatch

- Behavioural types have proved useful to analyse structured communications featuring various concerns:
  - ★ protocol conformance [binary/multiparty, synchronous/asynchronous, ...]
  - ★ resource-usage and security policies
  - ★ error handling and self-adaptation
  - ★ time-related requirements
- Existing typed frameworks have been developed in **isolation**, focused on a single concern only.
- This contrasts with correctness in actual software systems, which is best characterised by the **interplay** of several different concerns.

# This Work: A First Step to Correct the Mismatch

- A typed framework integrating
  - ★ security guarantees (**access control** and **secure information flow**)
  - ★ self-adaptation mechanisms
- Key Idea: Security violations trigger self-adaptation mechanisms.
- Assumptions:
  - ★ multiparty, asynchronous communication
  - ★ distributed partners, governed by **monitors**
  - ★ reading and writing violations are not necessarily catastrophic
  - ★ self-adaptation may be local or global
- A typed operational semantics, as a starting point for further developments and application-driven refinements.

# Our Proposal, In a Nutshell

- **global types** describe the overall communication choreography, together with initial reading permissions.
- **monitors** govern local communication protocols for each participant and maintain both reading and writing permissions.
- **processes** implement an associated monitor, relying on the adequacy of process types wrt monitors.

The composition of **monitored processes** defines a **network**.

The formal semantics is given by:

1. An LTS on processes – carrying over security levels for values.
2. A (typed) reduction semantics for networks – implementing communication and adaptation mechanisms (local and global).

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# An Example: “Rate your trip” (1)

Participants:

- A travel agency
- Individual and corporate clients (groups)
- An individual and a corporate sales representative
- A statistics service

An associated structured protocol, informally:

- After returning from a trip, individual/corporate clients send to individual/corporate representatives feedback information (containing private information)
- Representatives forward received feedback:
  - ★ to the agency (with clients in cc)
  - ★ to the statistics service (in anonymized form)

## An Example: “Rate your trip” (2)

Two possible security violations:

1. Representative sends a feedback with private information on a client to the statistics service (a **reading violation** for the service)
2. Representative sends a report, testing feedbacks from more than one client, but declassified to the level of one of these clients, to agency (with the same client in cc) (a **writing violation** for the representative)

How serious are these violations?

One may say that a violation is **minor** if a single client is concerned, and **major** if a corporate client is involved.



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# Global Types and Monitors

- Global types  $G$  ( $p$  and  $q$  denote **participants**):

$$\begin{array}{ll}
 G ::= p \rightarrow q : \{\lambda_i(S_i).G_i\}_{i \in I} & \text{labelled communication} \\
 | \mathbf{t} \mid \mu \mathbf{t}.G & \text{recursion} \\
 | \text{end} & \text{completed global protocol}
 \end{array}$$

- A **security global type** assigns a **reading level** to each participant
- Monitors  $\mathcal{M}$ : projections of global types onto individual participants. [A sort of local types, but not quite.]

$$\begin{array}{ll}
 \mathcal{M} ::= p? \{\lambda_i(S_i).\mathcal{M}_i\}_{i \in I} & \text{labelled input} \\
 | q! \{\lambda_i(S_i).\mathcal{M}_i\}_{i \in I} & \text{labelled output} \\
 | \mathbf{t} \mid \mu \mathbf{t}.\mathcal{M} & \text{recursion} \\
 | \text{end} & \text{completed local protocol}
 \end{array}$$

# Processes

- Expressions ( $e, e', \dots$ ) include booleans, naturals, and a set of Nonces (dummy fresh values).
- Every expression  $e$  is equipped with a **security level**, written  $lev(e)$ .
- Processes ( $c$  stands for a user channel  $y$  or a session channel  $s[p]$ ):

$$\begin{array}{l}
 P ::= c?\lambda(x).P \quad | \quad c!\lambda(e).P \quad \text{input and output} \\
 \quad | \quad \text{if } e \text{ then } P \text{ else } P \quad | \quad P + P \quad \text{conditionals and sums} \\
 \quad | \quad X \quad | \quad \mu X.P \quad | \quad \mathbf{0} \quad \text{recursion and inaction}
 \end{array}$$

# Monitored Processes and Networks

A **monitored process** is written

$$\mathcal{M}^{r,w}[P]$$

- ★ Monitor ( $\sim$  local type)  $\mathcal{M}$  enables the actions that  $P$  may perform.
- ★ Permission  $r$  denotes an **upper bound** for reading.
- ★ Permission  $w$  denotes a **lower bound** for writing.

**Networks**  $N, N', \dots$  are collections of monitored processes:

$N$	::=	$\text{new}(G, L)$	initiator of global protocol $G$
		$\mathcal{M}^{r,w}[P]$	monitored process
		$s : h$	queue $h$ for session $s$
		$N \mid N$	parallel composition
		$(\nu s)N$	restriction

# Process Types, Informally

- Describe communication behaviour. Ranged over by  $T, T', \dots$
- Labeled inputs and outputs together with intersection and union types.
- Defined as **pre-types** plus conditions to rule out ambiguities.
- Related to monitors via an **adequacy relation**, noted  $T \propto \mathcal{M}$ , which relies on subtyping.

# Typed Reduction Semantics for Networks

Denoted  $N \longrightarrow N'$ . Main ingredients:

- An LTS on monitors  $\mathcal{M}$ :

$$\begin{aligned} p?\{\lambda_i(S_i).\mathcal{M}_i\}_{i \in I} &\xrightarrow{p?\lambda_j} \mathcal{M}_j \\ q!\{\lambda_i(S_i).\mathcal{M}_i\}_{i \in I} &\xrightarrow{q!\lambda_j} \mathcal{M}_j \quad j \in I \end{aligned}$$

- An LTS on processes  $P$ . Two sample rules:

$$\begin{aligned} s[p]?\lambda(x).P &\xrightarrow{s[p]?\lambda(u)} P\{u/x\} \\ \text{if } e \text{ then } P \text{ else } Q &\xrightarrow{lev(e)} Q \quad e \downarrow \text{false} \end{aligned}$$

- A collection  $\mathcal{P}$  of pairs  $(P, T)$  of typed processes.
- A structural equivalence  $\equiv$  which erases processes with end monitor and commutes independent messages in queues.

# Reduction for Networks: Key Ideas (1)

- A single reduction step for networks relies on labelled transitions on processes and/or monitors.
- The semantics is instrumented to ensure both **access control** and **information flow**. The two guarantees are complementary:
  - ★ Access control only: arbitrary outputs after tests
  - ★ Information flow only: arbitrary inputs by any party
- Access control is ensured via **reading permissions**, whereas information flow is ensured with **writing permissions**.
- The semantics enables reduction even if reading and writing violations arise. In that case, adaptation is triggered.

## Reduction for Networks: Key Ideas (2)

Adaptation may react to violations in two ways:

- **Locally** by “ignoring” unauthorised actions.  
Monitors for the involved participants are dynamically modified.
- **Globally** by sending out nonces instead of (unauthorised) values.  
Monitors are kept unchanged until a certain point, in which the global protocol for all participants handling nonces is “restarted”.



# Reduction for Networks: Initialisation

$$\frac{\mathcal{M}_p = G \upharpoonright p \quad \forall p \in \text{part}(G). (P_p, T_p) \in \mathcal{P} \ \& \ T_p \propto \mathcal{M}_p}{\text{new}(G, L) \longrightarrow (\nu s) \prod_{p \in \text{part}(G)} (\mathcal{M}_p^{L(p), \perp} [P_p \{s[p]/y\}] \mid s : \emptyset)}$$

- A protocol initiator evolves into a composition of monitored processes and a fresh, empty queue.
- A monitor for each participant is obtained via (usual) projection. Processes obtained from  $\mathcal{P}$ ; types must be adequate for the monitors.
- The initial reading levels are assigned by map  $L$
- The initial writing levels are  $\perp$

# Reduction for Networks: Updating Writing Permissions

$$\frac{P \xrightarrow{\ell} P'}{\mathcal{M}^{r,w}[P] \longrightarrow \mathcal{M}^{r,w \sqcup \ell}[P']}$$

- This rule updates the current writing level, taking into account the level of the expression tested by the process.

[Above,  $\sqcup$  denotes the lub/join. Recall that  $w$  is a lower bound.]

# Reduction for Networks: Input

$$\frac{\mathcal{M}_p \xrightarrow{q?\lambda} \widehat{\mathcal{M}}_p \quad P \xrightarrow{s[p]?\lambda(u)} P' \quad lev(u) \leq r}{\mathcal{M}_p^{r,w}[P] \mid s : (q, p, \lambda(u)) \cdot h \longrightarrow \widehat{\mathcal{M}}_p^{r,w}[P'] \mid s : h}$$

- Every input action for  $p$  must be enabled by its monitor
- The identity of the sender  $q$  is provided by the monitor
- The level associated to the received value must be lower than or equal to the current reading level [Recall that  $r$  is an upper bound.]

# Reduction for Networks: Output

$$\frac{\mathcal{M}_p \xrightarrow{q!\lambda} \widehat{\mathcal{M}}_p \quad P \xrightarrow{s[p]!\lambda(u)} P' \quad (u = v \text{ and } w \leq \text{lev}(v)) \text{ or } u \in \text{Nonces}}{\mathcal{M}_p^{r,w}[P] \mid s : h \longrightarrow \widehat{\mathcal{M}}_p^{r,w}[P'] \mid s : h \cdot (p, q, \lambda(u))}$$

- The rule defines the enqueue operation of either a nonce or a value. In the latter case, the level of the value must be higher than or equal to the current writing permission of the monitor.

# Reduction for Networks: Local Adaptation In

$$\frac{\mathcal{M}_p \xrightarrow{q?\lambda} \widehat{\mathcal{M}}_p \quad lev(v) \not\leq r \quad \mathsf{T} \propto \widehat{\mathcal{M}}_p \quad (P', \mathsf{T}) \in \mathcal{P}}{\mathcal{M}_p^{r,w}[P] \mid \mathsf{s} : (q, p, \lambda(v)) \cdot h \longrightarrow \widehat{\mathcal{M}}_p^{r,w}[P'] \mid \mathsf{s} : h}$$

- In the case of a reading violation, the local adaptation mechanism simply “ignores” the unauthorised input.
- A new implementation in which the input is not considered is installed; the (unreadable) value is removed from the queue.

# Reduction for Networks: Local Adaptation Out

$$\frac{\begin{array}{l} \mathcal{M}_p \xrightarrow{q!\lambda} \widehat{\mathcal{M}}_p \quad P \xrightarrow{s[p]!\lambda(v)} P' \quad w \not\leq lev(v) \\ \widehat{\mathcal{M}}_q = \mathcal{M}_q \setminus ?(p, \lambda) \quad T \propto \widehat{\mathcal{M}}_q \quad (Q', T) \in \mathcal{P} \end{array}}{\mathcal{M}_p^{r,w}[P] \mid \mathcal{M}_q^{r',w'}[Q] \longrightarrow \widehat{\mathcal{M}}_p^{r \cap r', w}[P'] \mid \widehat{\mathcal{M}}_q^{r', w'}[Q' \{s[q]/y\}]}$$

- In the case of a writing violation, the local adaptation mechanism simply “strips off” the unauthorised output.
- The receiver’s monitor is modified accordingly; a new implementation without the unauthorised exchange is installed.
- The reading permission of the offending writer is modified. This is to prevent repeated leaks from the sender to the receiver.

# Reduction for Networks: Global Adaptation In

$$\frac{\mathcal{M}_p \xrightarrow{q?\lambda} \widehat{\mathcal{M}}_p \quad lev(v) \not\leq r \quad \text{nonce}_i = \text{next}(\text{Nonces}) \quad P \xrightarrow{s[p]?\lambda(\text{nonce}_i)} P'}{\mathcal{M}_p^{r,w}[P] \mid s : (q, p, \lambda(v)) \cdot h \longrightarrow \widehat{\mathcal{M}}_p^{r,w}[P'] \mid s : h}$$

In the case of a reading violation, the global adaptation mechanism

- inputs a freshly generated nonce
- removes the unreadable value from the queue

# Reduction for Networks: Global Adaptation Out

$$\mathcal{M}_p \xrightarrow{q!\lambda} \widehat{\mathcal{M}}_p \quad P \xrightarrow{s[p]!\lambda(v)} P' \quad w \not\leq lev(v)$$

$$nonce_i = next(Nonces) \quad R = \mathcal{M}_q^{r',w'}[Q]$$


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$$\mathcal{M}_p^{r,w}[P] \mid R \mid s : h$$

$$\longrightarrow$$

$$\widehat{\mathcal{M}}_p^{r \sqcap r',w}[P'] \mid R \mid s : h \cdot (p, q, \lambda(nonce_i))$$

In the case of a writing violation, the global adaptation mechanism:

- adds a freshly generated nonce to the queue
- modifies the reading permission of the offending writer (as before)



# Reduction for Networks: Global Restart

$$\mathcal{A}(\{P_p \mid p \in \Pi\}, \text{nonce}_i) = \Pi' \quad F(\{P_p \mid p \in \Pi'\}) = (G, L)$$


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$$(\nu s) (\prod_{p \in \Pi} \mathcal{M}_p[P_p] \mid s : h)$$

$$\longrightarrow$$

$$(\nu s) (\prod_{p \in \Pi - \Pi'} \mathcal{M}_p[P_p] \mid s : h \setminus \Pi') \mid \text{new}(G, L)$$

- The rule identifies the subset of participants “affected” with nonces. Based on that subset, a new global type is obtained.
- The choreography is split: unaffected participants are kept unchanged and the new global type is started.

# Main Results

By inspecting the reduction rules for networks, we may show that reading and writing operations **always respect security permissions**:

## Theorem

*Let  $N$  be a network.*

- 1. If  $N = \mathcal{M}_p^{r,w}[P] \mid s : (q, p, \lambda(v)) \cdot h \longrightarrow \widehat{\mathcal{M}}_p^{r,w}[P'] \mid s : h$ , then either  $\text{lev}(v) \leq r$  or  $P'$  results from an adaptation step.*
- 2. If  $N = \mathcal{M}_p^{r,w}[P] \mid s : h \longrightarrow \widehat{\mathcal{M}}_p^{r,w}[P'] \mid s : h \cdot (p, q, \lambda(v))$ , then  $w \leq \text{lev}(v)$ .*

Moreover, by extending typability to queues and monitored processes, we may show **subject reduction** and **progress properties**.

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## Some Related Work

- (Capecchi et al. - 2010): A monitored semantics for multiparty session processes which ensures secure information flow.
- (Di Giusto and Pérez - 2013): Runtime adaptation for binary sessions, based on adaptable processes.
- (Dalla Preda et al. - 2014): Rule-based adaptation for choreographic languages.
- (Bravetti et al. - 2014): Runtime adaptation for choreographies, based on adaptable processes, global and local types.
- (Coppo et al. - 2014): Self-adaptation for finite multiparty sessions based on global state and monitored processes.

# Concluding Remarks

- A typed framework for multiparty communications in which access control, information flow, and adaptation are treated harmoniously.
- A simple setting with a nice degree of independence between processes, types, and the intended properties.
- Typed processes specify communication, monitors describe security; adequacy links the two.
- The current semantics for adaptation combines global and local mechanisms in a non-deterministic regime. Specific case studies and applications needed to define ways of refining this choice.

# Process Types, Formally (1)

- The set of pre-types is inductively defined by:

$T ::= ?\lambda(S).T$		$!\lambda(S).T$	input and output
	$T \wedge T$		intersection types [for sums]
	$T \vee T$		union types [for conditionals]
	$\mathbf{t} \mid \mu\mathbf{t}.T \mid \text{end}$		recursive types and inaction

- A process type is a pre-type satisfying the following constraints [modulo idempotence, commutativity and associativity of  $\wedge$  and  $\vee$ ]:

- ★ all occurrences of the shape  $T_1 \wedge T_2$  are such that

$$\text{lin}(T_1) \cap \text{lin}(T_2) = \text{lout}(T_1) \cap \text{lout}(T_2) = \emptyset.$$

- ★ all occurrences of the shape  $T_1 \vee T_2$  are such that

$$\text{lin}(T_1) = \text{lin}(T_2) = \text{lout}(T_1) \cap \text{lout}(T_2) = \emptyset.$$

We use  $T$  to range over types and  $\mathcal{T}$  to denote the set of types.

## Process Types, Formally (2)

- Subtyping on process types is denoted  $\leq$ .  
 $T_1 \leq T_2$  : a process with type  $T_1$  has all behaviours denoted by  $T_2$  (but possibly more).
- It is the minimal reflexive and transitive relation on  $\mathcal{T}$  such that, e.g.,  $T_1 \wedge T_2 \leq T_i$  and  $T_i \leq T_1 \vee T_2$  (with  $i = 1, 2$ ).
- Process types and monitors are formally connected via **adequacy**.
- Let the mapping  $|\cdot|$  from monitors to types be defined as

$$|p?\{\lambda_i(S_i).\mathcal{M}_i\}_{i \in I}| = \bigwedge_{i \in I} ?\lambda_i(S_i).|\mathcal{M}_i|$$

$$|q!\{\lambda_i(S_i).\mathcal{M}_i\}_{i \in I}| = \bigvee_{i \in I} !\lambda_i(S_i).|\mathcal{M}_i|$$

$$|\mathbf{t}| = \mathbf{t} \quad |\mu\mathbf{t}.\mathcal{M}| = \mu\mathbf{t}.|\mathcal{M}| \quad |\text{end}| = \text{end}$$

Type  $T$  is **adequate** for a monitor  $\mathcal{M}$ , written  $T \propto \mathcal{M}$ , if  $T \leq |\mathcal{M}|$ .

# Process Types

Let us write  $lin(T)$  and  $lout(T)$  to denote the set of initial input and output labels in a pre-type  $T$ .

A process type is a pre-type satisfying the following constraints modulo idempotence, commutativity and associativity of unions and intersections:

- ★ all occurrences of the shape  $T_1 \wedge T_2$  are such that  $lin(T_1) \cap lin(T_2) = lout(T_1) \cap lout(T_2) = \emptyset$ .
- ★ all occurrences of the shape  $T_1 \vee T_2$  are such that  $lin(T_1) = lin(T_2) = lout(T_1) \cap lout(T_2) = \emptyset$ .

We use  $T$  to range over types and  $\mathcal{T}$  to denote the set of types.



# Typing Rules for Processes

$$\Gamma \vdash \mathbf{0} \triangleright c : \text{end} \quad \text{END} \qquad \Gamma, X : T \vdash X \triangleright c : T \quad \text{RV}$$

$$\frac{\Gamma, X : T \vdash P \triangleright c : T}{\Gamma \vdash \mu X.P \triangleright c : T} \quad \text{REC} \qquad \frac{\Gamma, x : S \vdash P \triangleright c : T}{\Gamma \vdash c? \lambda(x).P \triangleright c : ? \lambda(S).T} \quad \text{RCV}$$

$$\frac{\Gamma \vdash P \triangleright c : T \qquad \Gamma \vdash e : S}{\Gamma \vdash c! \lambda(e).P \triangleright c : ! \lambda(S).T} \quad \text{SEND}$$

$$\frac{\Gamma \vdash e : \text{bool} \quad \Gamma \vdash P_1 \triangleright c : T_1 \quad \Gamma \vdash P_2 \triangleright c : T_2 \quad T_1 \vee T_2 \in \mathcal{T}}{\Gamma \vdash \text{if } e \text{ then } P_1 \text{ else } P_2 \triangleright c : T_1 \vee T_2} \quad \text{IF}$$

$$\frac{\Gamma \vdash P_1 \triangleright c : T_1 \quad \Gamma \vdash P_2 \triangleright c : T_2 \quad T_1 \wedge T_2 \in \mathcal{T}}{\Gamma \vdash P_1 + P_2 \triangleright c : T_1 \wedge T_2} \quad \text{CHOICE}$$

# Subtyping on Process Types

We define  $\leq$  as the minimal reflexive, transitive relation on  $\mathcal{T}$  s.t.:

$$\mathbf{t} \leq \mathbf{t} \quad T \leq \text{end} \quad T_1 \wedge T_2 \leq T_i \quad T_i \leq T_1 \vee T_2 \quad (i = 1, 2)$$

$$T_1 \leq T_2 \text{ implies } !\lambda(S).T_1 \leq !\lambda(S).T_2 \text{ and } ?\lambda(S).T_1 \leq ?\lambda(S).T_2$$

$$T \leq T_1 \text{ and } T \leq T_2 \text{ imply } T \leq T_1 \wedge T_2$$

$$T_1 \leq T \text{ and } T_2 \leq T \text{ imply } T_1 \vee T_2 \leq T$$

$$(T_1 \vee T_2) \wedge T_3 \leq T \text{ iff } T_1 \wedge T_3 \leq T \text{ and } T_2 \wedge T_3 \leq T$$

$$T \leq (T_1 \wedge T_2) \vee T_3 \text{ iff } T \leq T_1 \vee T_3 \text{ and } T \leq T_2 \vee T_3$$

$$\mu\mathbf{t}.T \leq \mu\mathbf{t}.T' \text{ iff } T \leq T'$$

# LTS on Processes

$$s[p]? \lambda(x). P \xrightarrow{s[p]? \lambda(u)} P\{u/x\}$$

$$s[p]! \lambda(e). P \xrightarrow{s[p]! \lambda(u)} P \quad e \downarrow u$$

$$\text{if } e \text{ then } P \text{ else } Q \xrightarrow{\text{lev}(e)} P \quad e \downarrow \text{true}$$

$$\text{if } e \text{ then } P \text{ else } Q \xrightarrow{\text{lev}(e)} Q \quad e \downarrow \text{false}$$

$$P \xrightarrow{\alpha} P' \Rightarrow P + Q \xrightarrow{\alpha} P'$$

$$P \xrightarrow{\ell} P' \Rightarrow P + Q \xrightarrow{\ell} P' + Q$$

# Structural Congruence on Networks

A congruence  $\equiv$  on networks such that

- parallel is commutative and associative operator, with  $\mathbf{0}$  as neutral element.
- monitored processes with end monitor are erased:

$$\text{end}[P] \mid N \equiv N$$

- messages from unrelated participants are commuted:

$$h \cdot (\mathbf{p}, \mathbf{q}, \lambda(u)) \cdot (\mathbf{p}', \mathbf{q}', \lambda'(u')) \cdot h' \equiv h \cdot (\mathbf{p}', \mathbf{q}', \lambda'(u')) \cdot (\mathbf{p}, \mathbf{q}, \lambda(u)) \cdot h'$$

if  $\mathbf{p} \neq \mathbf{p}'$  or  $\mathbf{q} \neq \mathbf{q}'$ .

- The equivalence on message queues induces an equivalence on named queues in the expected way:

$$h \equiv h' \text{ implies } s : h \equiv s : h'$$