

# Deadlock and lock freedom in the linear $\pi$ -calculus

Luca Padovani – Torino

# Objective

Assuring properties of communicating processes

- no deadlocks
- no locks

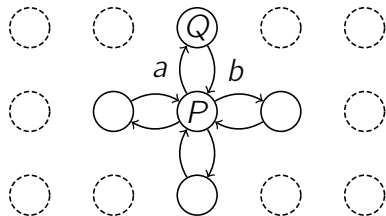
Problem undecidable in general

- 📄 Brand, Zafiropulo, **On communicating finite-state machines**, Journal of ACM, 1983
- 📄 Cécé, Finkel, **Verification of programs with half-duplex communication**, Inf. & Comp., 2005

Method

- types

## Example: full-duplex communication



```
while true do
  send( $a$ ,  $s_P$ )
   $s_Q :=$  receive( $b$ )
   $s_P :=$  update( $s_P$ ,  $s_Q$ )
```

Difficult to handle with current type systems

- recursion
- cyclic network
- several channels

# Outline

- ① The linear  $\pi$ -calculus
- ② Types for deadlock prevention
- ③ Results
- ④ Concluding remarks

# Outline

- ① The linear  $\pi$ -calculus
- ② Types for deadlock prevention
- ③ Results
- ④ Concluding remarks

# The linear $\pi$ -calculus

Unlimited channel


$$p^\omega[t]$$

$\geq 0$  communications

Linear channel

$$p[t]$$

1 communication

 Kobayashi, Pierce, Turner, **Linearity and the pi-calculus**,  
TOPLAS 1999

# Why the linear $\pi$ -calculus?

*“communications on linear channels [...] account for up to 50% of communications in a typical program”*

Kobayashi, Pierce, Turner 1999

# Why the linear $\pi$ -calculus?

*“communications on linear channels [...] account for up to 50% of communications in a typical program”*


Kobayashi, Pierce, Turner 1999

## Linear vs “linearized” channels

$a!\langle 3 \rangle . a!\langle 4 \rangle \dots$

$(\nu b) a!\langle 3, b \rangle . (\nu c) b!\langle 4, c \rangle \dots$

 Kobayashi, **Type systems for concurrent programs**, 2002

 Dardha, Giachino, Sangiorgi, **Session types revisited**, 2012



# Formulating the problem

Theorem (Kobayashi, Pierce, Turner, 1999)

In a well-typed process, each linear channel is used for communication **at most once**

# Formulating the problem

## Theorem (Kobayashi, Pierce, Turner, 1999)

In a well-typed process, each linear channel is used for communication **at most once**

$$a?(x).b!\langle x \rangle \mid b?(y).a!\langle y \rangle$$

- ⊕ the process is well typed ( $a$  and  $b$  are linear)
- ⊖ no communication is possible

# Formulating the problem

## Theorem (Kobayashi, Pierce, Turner, 1999)

In a well-typed process, each linear channel is used for communication **at most once exactly once**

$$a?(x).b!\langle x \rangle \mid b?(y).a!\langle y \rangle$$

- ⊕ the process is well typed ( $a$  and  $b$  are linear)
- ⊖ no communication is possible

# Outline

- ① The linear  $\pi$ -calculus
- ② Types for deadlock prevention
- ③ Results
- ④ Concluding remarks

# Strategy

- 1 associate each linear channel a level  $\in \mathbb{Z}$

$$p[t]^h$$

- 2 make sure that channels are used in strict order

$$a ?(x).b !\langle x \rangle \mid b ?(y).a !\langle y \rangle$$

# Strategy

- ① associate each linear channel a level  $\in \mathbb{Z}$

$$p[t]^h$$

- ② make sure that channels are used in strict order

$$a^m?(x).b^n!\langle x \rangle \mid b^n?(y).a^m!\langle y \rangle$$

# Strategy

- 1 associate each linear channel a level  $\in \mathbb{Z}$

$$p[t]^h$$

- 2 make sure that channels are used in strict order

$$a^m?(x).b^n!\langle x \rangle \mid b^n?(y).a^m!\langle y \rangle$$

# Typing rules

Input

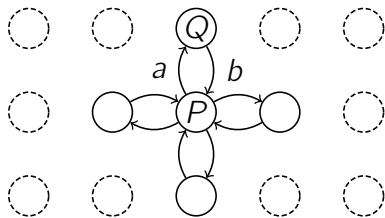
$$\frac{\Gamma, x : t \vdash P \quad h < |\Gamma|}{\Gamma, u : ?[t]^h \vdash u?(x).P}$$

Output

$$\frac{\Gamma \vdash e : t \quad h < |t|}{\Gamma, u : ![t]^h \vdash u!\langle e \rangle}$$



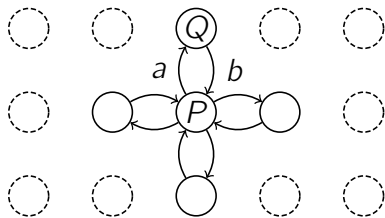
# Problem: most recursive processes are **ill typed**



```
while true do
  send(a, sP)
  sQ := receive(b)
  sP := update(sP, sQ)
```

$*node?(a^0, b^0).(\nu c^1)(a^0! \langle c^1 \rangle \mid b^0?(d^1).node! \langle c^1, d^1 \rangle)$

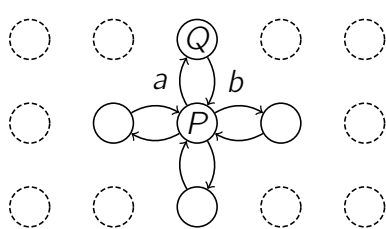
# Problem: most recursive processes are **ill typed**



```
while true do
  send(a, sP)
  sQ := receive(b)
  sP := update(sP, sQ)
```

$*node?(a^0, b^0).(\nu c^1)(a^0!\langle c^1 \rangle \mid b^0?(d^1).node!\langle c^1, d^1 \rangle)$

# Problem: most recursive processes are **ill typed**

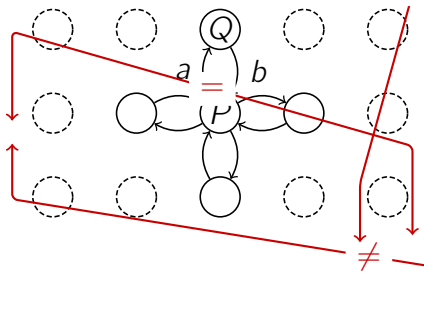


```
while true do  
  send(a, sP)  
  sQ := receive(b)  
  sP := update(sP, sQ)
```

$\neq$

```
*node?(a0, b0).(ν c1)(a0!⟨c1⟩ | b0?(d1).node!⟨c1, d1⟩)
```

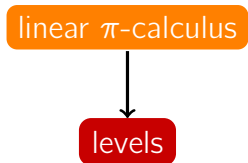
# Problem: most recursive processes are **ill typed**



```
while true do
  send(a, sP)
  sQ := receive(b)
  sP := update(sP, sQ)
```

$*node?(a^0, b^0).(\nu c^1)(a^0!\langle c^1 \rangle \mid b^0?(d^1).node!\langle c^1, d^1 \rangle)$

# Giving up linearity




# Giving up linearity

linear  $\pi$ -calculus

more structured types  $\Rightarrow$   
fewer constraints on levels


levels


## Usages

 Kobayashi, *Inf. & Comp.*, 2002

 ...

## Session types

 Dezani *et al.* CONCUR 2008

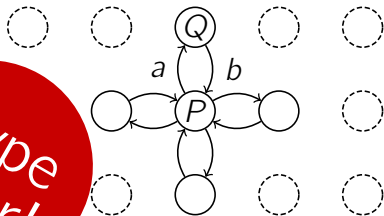
 ... many more

## Example: full-duplex communication

- ⊕ recursive processes are well typed...
- ⊖ ...if they use **one** channel only

# Example: full-duplex communication

- + recursive processes are well typed...
- ...if they use **one** channel only



```
while true do
  send(a, sP)
  sQ := receive(b)
  sP := update(sP, sQ)
```

**recursion + cycles = mutual channel dependencies**



# Giving up linearity

linear  $\pi$ -calculus

more structured types  $\Rightarrow$   
fewer constraints on levels

levels

## Usages

📄 Kobayashi, *Inf. & Comp.*, 2002

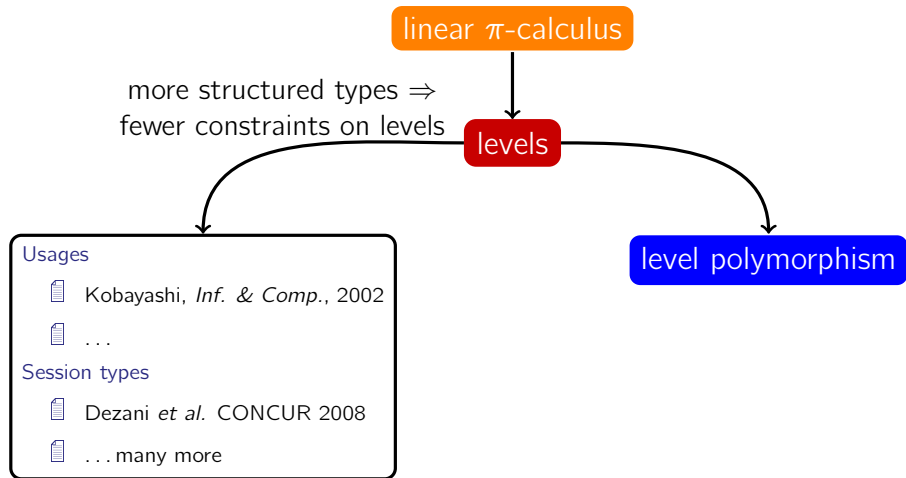
📄 ...

## Session types

📄 Dezani *et al.* CONCUR 2008

📄 ... many more

# Giving up linearity



# Level polymorphism

$$a?(b^7).b?(x).c^8!\langle x \rangle \quad a?(b^7, c^8).b?(x).c!\langle x \rangle$$

# Level polymorphism

$a?(b^7).b?(x).c^8!\langle x \rangle$

$a?(b^7, c^8).b?(x).c!\langle x \rangle$

c free

# Level polymorphism

6

5

6

5

c free

$$a?(b^7).b?(x).c^8!\langle x \rangle$$

$$a?(b^7, c^8).b?(x).c!\langle x \rangle$$

bound

# Level polymorphism

~~0~~

~~1~~

$a?(b^7).b?(x).c^8!\langle x \rangle$

$a?(b^7, c^8).b?(x).c!\langle x \rangle$

c bound

c free

5

# When is a channel level-polymorphic?

It depends on the continuation after an **input** on the channel

$a?(x).P$

some free linear channel in  $P \Rightarrow a$  monomorphic

# When is a channel level-polymorphic?

It depends on the continuation after an **input** on the channel

$a?(x).P$

no free linear channel in  $P \Rightarrow a$  polymorphic



# When is a channel level-polymorphic?

It depends on the continuation after an **input** on the channel

$$a?(x).P$$

Typing of linear  $\pi$ -calculus (Kobayashi, Pierce, Sangiorgi, 1999)

$$\frac{\vdash P \quad \text{no free linear channel in } P}{\vdash *a?(x).P}$$

# When is a channel level-polymorphic?

It depends on the continuation after an **input** on the channel

$$a?(x).P$$

Typing of linear  $\pi$ -calculus (Kobayashi, Pierce, Sangiorgi, 1999)

$$\frac{\vdash P \quad \text{no free linear channel in } P}{\vdash *a?(x).P}$$

## Key observation

All channels used for replicated inputs are level-polymorphic

**This is great news!** recursion  $\Rightarrow$  replication

# Typing rules (revised)

Linear output

$$\frac{\Gamma \vdash e : t \quad h < |t|}{\Gamma, u : ![t]^h \vdash u! \langle e \rangle}$$

Unlimited output

$$\frac{\Gamma \vdash e : \$^k t}{\Gamma, u : !^\omega [t] \vdash u! \langle e \rangle}$$

# Typing rules (revised)

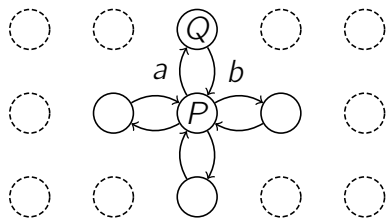
Linear output

$$\frac{\Gamma \vdash e : t \quad h < |t|}{\Gamma, u : ![t]^h \vdash u! \langle e \rangle}$$

Unlimited output

$$\frac{\Gamma \vdash e : \$^k t}{\Gamma, u : !^\omega[t] \vdash u! \langle e \rangle}$$

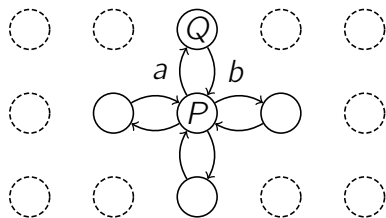
## Example: full-duplex communication



```
while true do
  send(a, sP)
  sQ := receive(b)
  sP := update(sP, sQ)
```

$$*node?(a^0, b^0).(\nu c^1)(a^0!\langle c^1 \rangle \mid b^0?(d^1).node!\langle c^1, d^1 \rangle)$$

# Example: full-duplex communication



```
while true do
  send(a, sP)
  sQ := receive(b)
  sP := update(sP, sQ)
```

$*node?(a^0, b^0).(\nu c^1)(a^0!\langle c^1 \rangle \mid b^0?(d^1).node!\langle c^1, d^1 \rangle)$

# Outline

- ① The linear  $\pi$ -calculus
- ② Types for deadlock prevention
- ③ Results
- ④ Concluding remarks

## Result 1: deadlock freedom

### Definition

$P$  is **deadlock free** if  $P \rightarrow^* (\nu \tilde{a})Q \dashv\vdash$  implies  $\neg \text{wait}(a, Q)$  for all  $a$



# Result 1: deadlock freedom

## Definition

$P$  is **deadlock free** if  $P \rightarrow^* (\nu \tilde{a})Q \dashv\vdash$  implies  $\neg \text{wait}(a, Q)$  for all  $a$

## Theorem

*$P$  closed and well typed implies  $P$  deadlock free*

# Result 1: deadlock freedom

## Definition

$P$  is **deadlock free** if  $P \rightarrow^* (\nu \tilde{a})Q \dashv\vdash$  implies  $\neg \text{wait}(a, Q)$  for all  $a$

## Theorem

*$P$  closed and well typed implies  $P$  deadlock free*

— but this is well typed...

$c!\langle a \rangle \mid *c?(x).c!\langle x \rangle \mid a!\langle 1984 \rangle$

## Result 2: lock freedom

### Definition

$P$  is **lock free** if  $P \rightarrow^* (\nu \tilde{a})Q$  and  $\text{wait}(a, Q)$  implies  
 $Q \rightarrow^* R$  such that  $\text{sync}(a, R)$

## Result 2: lock freedom

### Definition

$P$  is **lock free** if  $P \rightarrow^* (\nu \tilde{a})Q$  and  $\text{wait}(a, Q)$  implies  
 $Q \rightarrow^* R$  such that  $\text{sync}(a, R)$

on  $a$  is possible

$$\# [t]_k^h$$

## Result 2: lock freedom

### Definition

$P$  is **lock free** if  $P \rightarrow^* (\nu \tilde{a})Q$  and  $\text{wait}(a, Q)$  implies  
 $Q \rightarrow^* R$  such that  $\text{sync}(a, R)$

$\#[t]_h$

tickets = max no. of travels

### Theorem

$P$  closed and well typed *with levels*  $\in \mathbb{N}$  implies  $P$  lock free

## Result 3: expressiveness

- characterizing well-typed processes is an open problem, but. . .

## Result 3: expressiveness

- characterizing well-typed processes is an open problem, but. . .

Theorem (not in proceedings, see long version)

If you can draw a **message sequence chart** for your protocol, then you can realize the protocol with a well-typed process

## Result 3: expressiveness

- characterizing well-typed processes is an open problem, but. . .

### Theorem (not in proceedings, see long version)

If you can draw a **message sequence chart** for your protocol, then you can realize the protocol with a well-typed process

- + variable number of channels
- + variable number of processes
- + variable network topology



# Outline

- ① The linear  $\pi$ -calculus
- ② Types for deadlock prevention
- ③ Results
- ④ Concluding remarks

# Some shortcomings

- Global ordering of events

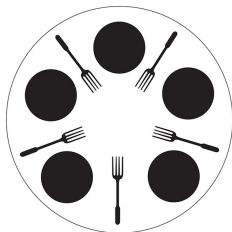
```
a!⟨3⟩ | b!⟨4⟩ | if ... then a?(x).b?(y) ...  
                    else b?(y).a?(x) ...
```


# Some shortcomings

- Global ordering of events

$$a!\langle 3 \rangle \mid b!\langle 4 \rangle \mid \text{if } \dots \text{ then } a?(x).b?(y) \dots \\ \text{else } b?(y).a?(x) \dots$$

- Linear/unlimited dichotomy



 Giachino, Kobayashi, Laneve, **Deadlock analysis of unbounded process networks**, CONCUR 2014 (to appear)

# Further work

## + Type reconstruction (with Tzu-Chun Chen and Andrea Tosatto)

📄 Igarashi, Kobayashi, **Type Reconstruction for Linear  $\pi$ -Calculus with I/O Subtyping**, Inf. & Comp. 2000

📄 Padovani, **Type Reconstruction for the Linear  $\pi$ -Calculus with Composite and Equi-Recursive Types**, FoSSaCS 2014

level/ticket variables } linear (integer) programming problem  
linear constraints }

## + Extension to higher-order languages (with Luca Novara)

📄 Padovani, Novara, **Types for Deadlock-Free Higher-Order Concurrent Programs**, 2014 (tech report on my homepage)